PATENT

Docket No.: 514242000300

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Akihiro DAIKOKU

Serial No.: New Application

Filing Date: February 23, 2004

For: OPTICAL PICKUP LENS, AND

OPTICAL PICKUP UNIT COMPRISING

THE SAME

Examiner: Not Yet Assigned

Group Art Unit: Not Yet Assigned

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicant submits for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Copies of the documents are also submitted herewith. The Examiner is requested to make these documents of record.

This Information Disclosure Statement is submitted:

With the application; accordingly, no fee or separate requirements are required.

Applicant would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

The information contained in this Information Disclosure Statement under 37 C.F.R. § 1.97 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the event that the transmittal letter is separated from this document and the Patent Office determines that an extension and/or other relief is required, applicant petitions for any required relief including extensions of time and authorizes the Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to <a href="https://document.nc.nih.gov/">Deposit Account No. 03-1952</a> referencing 514242000300.

Dated: February 23, 2004

Respectfully submitted,

Barry E. Bretschneider Registration No. 28,055

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## Sheet 1 of 1 Docket Number: 514242000300 Form PTO-1449 Application Number: New Application Applicants INFORMATION DISCLOSURE CITATION IN AN APPLICATION Akihiro DAIKOKU (Use several sheets if necessary) Filing Date: February 23, 2004 Group Art Unit: Not Yet Assigned Mailing Date: February 23, 2004 U.S. PATENT DOCUMENTS Ref. Examiner Date Document No. Class **Subclass** Filing Date If Name Initials No. Appropriate 1. 11/07/2000 6,144,500 IWAKI et al. 2. 04/17/2001 6,219,191 IWAKI et al. FOREIGN PATENT DOCUMENTS Ref. Examiner Date Document Country Class Subclass Translation **Initials** No. No. YES NO 3. 09/14/1998 10-246801 Abstract Japan 4. 10/20/1995 7-272302 Japan Abstract 5. 01/29/1999 11-23807 Japan Abstract 6. 05/11/2001 2001-126275 Japan Abstract 7. 8. OTHER DOCUMENTS (including author, title, Date, Pertinent Pages, Etc.) Examiner Ref. Title **Initials** No. 3. **EXAMINER:** DATE CONSIDERED: EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in

conformance and not considered. Include a copy of this form with next communication to applicant.